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Estimating Angle of Arrival (AOA) for Wideband Signal by Sensor Delay Line (SDL) and Tapped Delay Line (TDL) Processors

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ABSTRACT

Angle of arrival (AOA) estimation for wideband signal becomes more necessary for modern communication systems like Global System for Mobile (GSM), satellite, military applications and spread spectrum (frequency hopping and direct sequence). Most of the researchers are focusing on how to cancel the effects of signal bandwidth on AOA estimation performance by using a transversal filter (tap delay line) (TDL). Most of the researchers were using two elements array antenna to study these effects. In this research, a general case of proposed (M) array elements is used. A transversal filter (TDL) in phase adaptive array antenna system is used to calculate the optimum number of taps required to compensate these effect. The proposed system uses a phase adaptive array antenna in conjunction with LMS algorithm to work an angle of arrival (AOA) estimator for wideband signals rather than interference canceller. An alternative solution to compensate for the effect of signal bandwidth is proposed by using sensor delay line (SDL) instead of fixed delay unit since it has variable time sampling in the time domain and not fixed time delay, depending on the angle of arrival of received signals. The proposed system has the ability to estimate two parameters for received signals simultaneously (the output Signal to Noise Ratio (SNR) and AOA), unlike others systems which estimate AOA only. The comparison of the simulation results with Multiple Signal Classification (MUSIC) technique showed that the proposed system gives good results for estimating AOA and the output SNR for wideband signals. (SDL) processor shows better performance result than (TDL) processor. MUSIC technique with both (SDL) and (TDL) processors shows unacceptable results for estimating (AOA) for the wideband signal.

Keywords: Angle of arrivals estimation (AOA), Wideband signal, Transversal Filter (TDL), Adaptive Array Antenna, sensor delay lines (SDL), MUSIC algorithm.

تخمين زاوية الوصول للاشارات ذات الحزم العريضة من قبل المعالجات تأخير زمن وصول الاشاره (TDL) وعناصر هوائي ملحقه للحصول على زمن تأخير متغير (SDL).

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الخلاصة

اصبح تخمين زاوية الوصول (AOA) للإشارة عريضة النطاق ضرورية جدا لأنظمة الاتصالات الحديثة مثل النظام العالمي frequency hopping and) للهاتف المتنقل (GSM) والاقمار الاصطناعية والتطبيقات العسكرية وطيف الحزمه العريضه (

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direct sequence البرك معظم الباحثين على إلغاء تأثير عرض النطاق الترددي للاشار، المستلمه باستخدام مرشح متعامد (TDL) (transversal filter) في هذا البحث (transversal filter) (transversal filter). كان معظم الباحثين يستخدمون مصفوفة الهوائي من عنصرين لدراسة هذه الآثار. في هذا البحث استخدمنا الحالة العامة المقترحة لعناصر مصفوفة هوائيات بعدد (M) مع transversal filter (TDL) في نظام مصفوفه هوائية طور متكيفه وتم حساب العدد المثالي من اعداد (tag table للعاء هذه التأثيرات. في النظام المقترح استخدامنا موافية طور متكيفه مع خوارزمية LMS للعمل على تخمين زاوية الوصول (AOA) للإشارات عريضة النطاق بدلا مصفوفة موائية طور متكيفه مع خوارزمية LMS للعمل على تخمين زاوية الوصول (AOA) للإشارات عريضة النطاق بدلا من علما على تخمين زاوية الوصول (AOA) للإشارات عريضة النطاق بدلا من علما مصفوفات هوائية طور متكيفه مع خوارزمية LMS للعمل على تخمين زاوية الوصول (AOA) للإشارات عريضة النطاق بدلا من عملها كمنظومه الغاء التداخلات. تم اقتراح حل بديل لالغاء تأثير عرض نطاق الإشارة بأستخدام عناصر هوائيه ملحقه مع كل من عملها كمنظومه الغاء التداخلات. تم اقتراح حل بديل لالغاء تأثير عرض نطاق الإشارة بأستخدام عناصر هوائيه ملحقه مع كل من عملها لمنور الحالي الحصول على زمن تأخير متغير (T_s للإشارة بأستخدام عناصر هوائيه ملحقه مع كل عنصر اساسي في المصفوفه للحصول على زمن تأخير متغيرة في المجال الزمني وليس فترات تأخير زمنيه ثابته تبعا لزاوية روصول الإشارات المستلمه. نظامنا المقترح لديه القدرة على تخمين نسبة الإشاره الى الضوضاء(SNR) و (SNR) للإشارات المستلمه. نظامنا المقترح لديه القدرة على تخمين نسبة الإشاره الى الضوضاء(SNR) و زاوية الوصول (AOA) للإشارات المحلول بلايت بعد النظام المقترح يعطي نتائج جيدة في تخمن زاوية الوصول (GNA) للموان الولية، على الغادية الما ملقدرح يتائير زاوية الوصول (SNR) اللاشارات المرات العريضة المولية المورة على تنائج جيدة في تخمن زاوية الوصول (SDL) ورعما المولينة الموانة الموانة المقارنة المحاكاة مع تقنية SDL) و(SDL) للإشارات عريضة النطاق بالنظام المقترح يعطي نتائج جيدة في تخمن زاوية الوصول (GNA) ولرشان تنائج مي زاوية النظام معلى اللإشارات عريضة النطاق بلائي المورى (AOA) ورعلي المولينة الموالي الموالي اللإشرين (AOA) ورما المولينة المواق اللإش

الكلمات الرئيسية: اشاره ذات الحزم العريضة, تخمين زاوية الوصول, مصفوفه هوائية متكيفه, مرشح متعامد(TDL), عناصر هوائي زمن تأخير (SDL), خوارزمية MUSIC .

1. INTRODUCTION

Beamforming has found many implementations in different areas such as sonar, radar and wireless communications **Griffiths**, **1983**. In the past, most of the works have mainly focused on signals of narrowband, in recent signals of broadband have received more attention. This can be fundamentally attributed to the superior performance of beamforming. For the reason that each signal of broadband consists of many components of various frequencies, the beamforming weights should be various for various frequencies, thus increasing the computational complexity Li, et al., **2017**, Liu and Weiss, **2010**. However, when the signal bandwidth increases, the AOA estimation performance will degrade, as a solution to the degradation of performance the tapped delay line (TDL) (transversal filter) (or FIR/IIR filters in its discrete form) are often used to improve the performance of adaptive beamformer for bandwidth, **Jafari**, et al., **2013**, Gu, et al., **2005**, and Lin, et al., **2007**. For processing wideband tap delay line filter with digital beamforming processing technique is required to use with each channel to get a channel transfer function H(jw) function of signal bandwidth **Zhang**, et al., **2010**, and **Goncharova**, et al., **2011**.

The most popular AOA algorithm is (MUSIC technique) which is used to estimate the direction of arrival (DOA) for narrowband signals. This technique exploits the smallest subspace Eigenvectors extracted from covariance matrix of received signal (Φ_{xx}) to estimated (DOA) of signal. For wideband signal, it is found that all Eigenvectors of covariance matrix are occupied by the components of received signal and no longer Eigenvalues exist for channel thermal noise which are needed by MUSIC DF formula to estimate the angle. It is found that the TDL length (number of taps), is depending on the impinging signals bandwidth. The largest the bandwidth the more delay line taps are required. The delay between taps is decrease due to the increase signal bandwidth. As a result, very high speed digital sampling circuits or analogue TDLs have to be utilized for signals with very large bandwidth **Vook and Compton, 1990, Lin et al., 2010**. Referenced to MUSIC technique, the transversal filter method is eliminates the signal bandwidth effects and give good results approximately a like with narrowband signal. MUSIC technique fails to give satisfied results for wideband signal even with the use of transversal filter **Mohammed and Noori, 2014**.

A new structure of broadband beamforming is proposed, by using traditional tapped delay line TDLs and by using sensor delay lines (SDLs) as an alternative solution to compensate spatial propagation delays between sensors **Lin**, et al., 2008, and **Liu**, 2009.



The organization of this paper is as follows, in section **2**, MUSIC algorithm is reviewed. In section 3 introduce a mathematical formulation for two broadband processor presented and analyzed for tapped delay line (TDL) (transversal filter) and sensor delay line (SDL). Processes output signal to noise ratio (SNR) for the proposed system is derived. Simulation results are presented in Section **4**, and conclusions are drawn in Section **5**.

2. MUSIC TECHNIQUE

The MUSIC technique is simple, popular, high resolution and efficient Eigen structure method for AOA estimation. The MUSIC spatial spectrum can be expressed as **Kumbar**, 2015

$$P_{MUSIC}(\theta) = \frac{1}{a^{H}(\theta) E_{N} E_{N}^{H} a(\theta)}$$
(1)

Where $\boldsymbol{a}(\theta)$ is the steering vector given by $\left[g_i(\theta)e^{-J\beta id\cos(\theta)}\right]^T$ for i = 1, 2, ..., M, $g_i(\theta)$ is the element field pattern and θ is a spatial angle belonging to $[0, 2\pi]$ and \boldsymbol{E}_N is a thermal noise sub space eigen vector related to the set of equal and smallest eigen value of orthogonalized received signals covariance matrix $(\boldsymbol{\Phi}_{xx})$.

3. MATHEMATICAL PRELIMINARIES FOR BROADBAND PROCESSOR.

For M element adaptive arrays composed of isotropic antenna elements separated by (d) distance at the carrier frequency ω_0 impinging on a broadband signal with bandwidth $\Delta \omega_s$ as shown in the **Fig.1**.

The received signals vector \mathbf{X}_{m} and weight vector \mathbf{W}_{m} at the *I* delays behind each element can be expressed as Liu et al., 2014

$$\boldsymbol{X}_{m} = [x_{m1}, x_{m2}, \dots, x_{mI}]^{T}$$
⁽²⁾

$$\mathbf{W}_{m} = [w_{m1}, w_{m2}, \dots, w_{ml}]^{T}$$
(3)

where T is a transpose, X_m is element signal vector and W_m the element weight vector. Then the total signal X and weight W vectors can be expressed as

The optimal weight vector for the array is then given by **Compton, 1988** $W_{opt} = \Phi_{xx}^{-1} a$ (6)

where Φ_{xx} is the signal covariance matrix and **a** is the steering vector.

The received signal by each element consists of received and thermal noise signals at tap I behind each M-element has the form

$$X_{(m,i)}(t) = X_{s(m,i)}(t) + X_{n(m,i)}(t)$$
(7)

where $\mathbf{X}_{s_{mi}}(t)$, $\mathbf{X}_{n_{mi}}(t)$ are receive and thermal noise signals, respectively. The element signal vectors \mathbf{X}_m and the total signal vector \mathbf{X} can be expressed as

$$\begin{aligned} X_m &= X_{s_m} + X_{n_m} \\ X &= X_s + X_n \end{aligned} \tag{8}$$

The received signal at each sensor is assumed to consist of two uncorrelated component: receive signal underestimation process from unknown angle θ_s and noise channel component. The signal covariance matrix Φ_{xx} of array system performed from two matrices corresponding to the receive matrix signal Φ_{ss} and noise matrix Φ_{nn} , respectively since they are mutually independent $\Phi_{xx} = \Phi_{ss} + \Phi_{nn}$ (10)

Where each $(I \times I)$ sub matrix $\Phi_{ss(m,n)}$ is the receive signal covariance matrix associated with a pair of element signal vector \mathbf{X}_{sm} and \mathbf{X}_{sm}

$$\boldsymbol{\Phi}_{ss\ (m,n)} = E\left[\boldsymbol{X}_{s_m}^* \boldsymbol{X}_{s_n}^T\right] \tag{11}$$



If the received signal is assumed to have flat power spectral density amplitude of $(2\pi P_s/\Delta\omega_s)$ over a limited bandwidth $\Delta\omega_s$ center at ω_o as shown in **Fig.2**. The power spectrum auto correlation function is given by

$$\boldsymbol{\Phi}_{ss}(\omega) = \tilde{E}[\boldsymbol{X}_{s}^{*}(\omega), \boldsymbol{X}_{s}^{T}(\omega)]$$
(12)

Since there is a Fourier transformer relationship between power spectrum correlation matrix and autocorrelation function in time domain given by

$$R_{xx}(\tau) = \int_{\frac{-\Delta\omega_s}{2}}^{\frac{\Delta\omega_s}{2}} \boldsymbol{\Phi}_{xx}(\tau) e^{j\omega\tau} d\omega$$
(13)

The autocorrelation function of the received signal for flat power spectrum density is then given by Li, et al., 2017

$$\boldsymbol{R}_{ss}(\tau) = P_s sinc(\frac{\Delta\omega_s \tau}{2}) e^{j\omega_o \tau}$$
(14)

3.1 Broadband Processor with Tapped Delay Line (TDL).

Such transversal filter can be realized by a tapped delay line having complex weight as shown in **Fig.3**. Each element is followed by a tapped delay line with I taps of time delay T_o seconds between taps.

Then the total time delay at arbitrary tap is

$$X_{s(m,i)}(t) = X_{s}(t - [i - 1]T_{o} - [m - 1]T_{e})$$

where T_o is the delay unit time between adjacent element taps (i = 1, 2, ..., I) and T_e is the unit propagation delay between adjacent array element (m = 1,2,..., M). The thermal noise signal is given by

$$X_{n(m,i)}(t) = X_n(t - [i - 1]T_o)$$
(16)

The (ik^{th}) terms of $\Phi_{ss(m,n)}$ (the element in the (i^{th}) row and (k^{th}) column of $\Phi_{ss(m,n)}$ can be found by the following formula as

$$\left[\boldsymbol{\Phi}_{ss\,(m,n)}\right]_{i,k} = \boldsymbol{R}_{ss}[(m-n)T_e + (i-k)T_o] \tag{17}$$
where

 $\boldsymbol{R}_{ss}(\tau) = E[\boldsymbol{x}_{s}^{*}(t), \boldsymbol{x}_{s}(t+\tau)]$ (18)

If the received signal is incident on the array from θ_s then

$$T_e = \frac{d}{c}\sin(\theta_s) = \frac{\pi}{\omega_{max}}\sin(\theta_s)$$
(19)

If we choose T_0 equivalent to a time required by receive signal to across a distance d1 equal to a quarter wavelength at center frequency then we have

$$T_o = d1/c = \lambda_o/4c \tag{20}$$

Now the equivalent phase delay between signals at different taps due to delay time T_o at a center frequency is

$$\varphi_o = T_o \omega_o = \frac{\pi}{2} \tag{21}$$

Substituting Eq.'s.(18), (19) and (20) into (17) leads to Lin et al., 2007

$$\left[\boldsymbol{\Phi}_{ss\,(m,n)}\right]_{i,k} = P_s\,sinc\left(\frac{\Delta\omega_s}{2}\left\{(m-n)T_e + (i-k)T_o\right\}\right) * e^{j\omega_o\left[(m-n)T_e + (i-k)T_o\right]} \tag{22}$$

The product of $\Delta \omega_s T_e$ can be normalized over a center frequency ω_o to have absolute relative bandwidth as follows

$$\Delta\omega_s T_e = (\Delta\omega_s/\omega_o) * \omega_o T_e = Bw_s \varphi_e \tag{23}$$

Where Bw_s is the received signal relative bandwidth and φ_e is the inter element phase delay at center frequency, using Eq. (19), φ_e can be expressed as a function of inter element spacing and receive signal angle of arrival as

$$\varphi_e = \beta d \sin(\theta_s) = (2\pi/\lambda_o) d \sin(\theta_s)$$
For d = $\lambda_o/2$ Eq. (24) becomes
(24)

(15)



(28)

(32)

$$\varphi_e = \pi \sin(\theta_s) \tag{25}$$

$$\Delta\omega_s T_o = (\Delta\omega_s/\omega_o) * \omega_o T_o = Bw_s \varphi_o \tag{26}$$

Where ϕ_0 is a phase delay due to tap time delay T_0 . Using Eq.'s (21),(22), (23) and (25) then (26) becomes

$$\left[\boldsymbol{\Phi}_{ss\,(m,n)}\right]_{i,k} = P_s \, sinc \, \left(\frac{Bw_s}{2} \, \tau_s\right) * e^{j\tau_s} \tag{27}$$

Where the receive delay time is equal to $\tau_s = [\pi(m-n)\sin(\theta_s) + (\pi/2)(i-k)]$

Where m, n = 1, 2, ..., M Sensors; i, k = 1, 2, ..., I Taps.

The components of channels thermal noise are considered to be mutually uncorrelated for array sensor and but mutually correlated in the same channel (i.e. between delay taps). flat spectrum is the study case for wideband signal and thermal noise with amplitude $(2\pi\sigma^2/\Delta\omega)$ over signal and thermal noise bandwidth Bw_n. The correlation matrix of noise can be derived utilizing the same steps as for receive signal, only final equations will be listed as follows

$$\left[\boldsymbol{\Phi}_{nn\,(m,n)}\right]_{i,k} = \begin{cases} \boldsymbol{\Phi}_{nn}\left[(i-k)T_o\right] \dots f \text{ or } m=n\\ 0 \dots \dots \dots \dots f \text{ or } m\neq n \end{cases}$$
(29)

$$\left[\boldsymbol{\Phi}_{nn\,(m,m)}\right]_{i,k} = \sigma^2 \operatorname{sinc}\left(\frac{\Delta\omega_n}{2}(i-k)T_o\right) * e^{j\omega_o(i-k)T_o}$$
(30)

Where σ^2 is the variance of thermal noise component

$$\begin{bmatrix} \boldsymbol{\Phi}_{nn\,(m,m)} \end{bmatrix}_{i,k} = \sigma^2 \operatorname{sinc} \left(\frac{Bw_n}{2} \tau_n \right) * e^{j\tau_n} \tag{31}$$

Where τ_n is the noise delay time and equal to $\tau_n = [(\pi/2)(i-k)]$

Now all element of Φ_{xx} can be calculated.

The steering vector (a) can also be calculated, by using the same steps, constraint steering vector of dimension $(MI \times 1)$ for whole array can be written as

$$\left[\boldsymbol{a}_{(m,1)}\right]_{i,1} = sinc \left(\frac{\Delta\omega_a}{2} \left[(m-1)T_e + (i-1)T_o \right] \right) * e^{j\omega_o \left[(m-1)T_e + (i-1)T_o \right]}$$
(33)

$$\left[\boldsymbol{a}_{(m,1)}\right]_{i,1} = \operatorname{sinc}\left(\frac{\mathrm{Bw}_a}{2}\tau_a\right) * e^{j\tau_a} \tag{34}$$

where τ_a is a steering vector delay time equal to

$$\tau_a = [\pi(m-1)\sin(\theta_a) + (\pi/2)(i-1)]$$
(35)

Where m, n = 1, 2, ..., M sensor; l, k = 1, 2, ..., l taps θ_a is a steering angle belongs to $[0, 2\pi]$ and Bw_a is the relative bandwidth of steering vector, and its value is taken to be equal to the designed system bandwidth. Then \mathbf{W}_{opt} given by Eq. (6) can now be found as a function of receive and noise bandwidth as well as a function of receive signal angle of arrival. When a steering vector angle θ_a is equal to the angle of i^{th} received signals (θ_{is}), the output of the system will be maximum from this direction which represents AOA estimation. With the optimized weight, the output powers due to received signals and the thermal noise are

$$P_{s}(\theta_{s}, Bw_{s}) = \boldsymbol{W}_{opt}^{T} \boldsymbol{\Phi}_{ss(\theta_{s}, Bw_{s})} \boldsymbol{W}_{opt}$$

$$(36)$$

$$P_n(Bw_n) = \boldsymbol{W}_{opt}^T \, \boldsymbol{\Phi}_{nn(Bw_n)} \boldsymbol{W}_{opt} \tag{37}$$

Finally, the output signal to noise ratio (SNR) as a function of signal bandwidth and angle of arrival is equal to

$$SNR(\theta_s, Bw_s) = \frac{P_s(\theta_s, Bw_s)}{P_n(Bw_n)}$$
(38)

3.2 Alternative Approach for Broadband Processor by Sensor Delay Line (SDL).

As shown in **Fig. 4**, the spacing between the sensor at the position (m, i) and the one at (m + 1, i), i = 1, 2, ..., I is the same, and the spacing between the sensor at the position (m, i) and the one at (m, i + 1), m = 1, 2, ..., M, is the same too **Liu**, 2009, Yu et al., 2007. The total time delay at line sensors is

 $X_{s(m,i)}(t) = X_s(t - [i - 1]T_s - [m - 1]T_e)$ (39) Where T_s is the unit propagation delay between adjacent delay-line sensors (*i* = 1, 2, ..., *I*) and

Where T_s is the unit propagation delay between adjacent delay-line sensors (i = 1, 2, ..., I) and T_e is the unit propagation delay between adjacent array element (m = 1,2, ..., M).

The correlation matrix of the signal received at the $(m, i)^{th}$ and $(n, k)^{th}$ sensor is given by

$$\begin{bmatrix} \boldsymbol{\Phi}_{ss(m,n)} \end{bmatrix}_{i,k} = E\begin{bmatrix} \boldsymbol{X}_{s_m}^* \boldsymbol{X}_{s_n}^T \end{bmatrix}$$
$$= \boldsymbol{R}_{ss}[(m-n)T_e + (i-k)T_s]$$
(40)

To avoid spatial aliasing it is often assumed that two adjacent array elements are half a wavelength apart from each other at the maximum signal frequency, ω_{max} .

The two adjacent delay-line sensors are r times a quarter wavelength a part from each other at ω_{max} . The unit propagation delay between delay-line sensors is given by

$$T_s = \frac{d1}{c} \cos(\theta_s) = \frac{\pi}{2\omega_{max}} r \cos(\theta_s)$$
(41)

Where d1 is the spacing between adjacent SDL sensors. Substituting Eq. (18) into Eq. (40), gives

$$\left[\boldsymbol{\Phi}_{ss\,(m,n)}\right]_{i,k} = P_s\,sinc\left(\frac{\Delta\omega_s}{2}\{(m-n)T_e + (i-k)T_s\}\right) * e^{j\omega_o[(m-n)T_e + (i-k)T_s]} \tag{42}$$

Therefore the terms $\Delta \omega_s T_e$ and $\omega_o T_e$ can be written as

$$\Delta\omega_s T_e = \frac{\Delta\omega_s}{\omega_{max}} \pi \sin(\theta_s) = B w_s \pi \sin(\theta_s)$$
(43)
and

$$\omega_o T_e = \frac{\omega_o}{\omega_{max}} \pi \sin(\theta_s) = \Omega_o \pi \sin(\theta_s) \tag{44}$$

Where $Bw_s = \frac{\Delta \omega_s}{\omega_{max}}$ is the absolute bandwidth and $\Omega_o = \frac{\omega_o}{\omega_{max}}$ is center frequency with their relative counterparts. Similarly,

$$\Delta\omega_s T_s = \frac{\Delta\omega_s}{\omega_{max}} \frac{\pi}{2} r \cos(\theta_s) = B w_s \frac{\pi}{2} r \cos(\theta_s)$$
(45)

and

$$\omega_o T_s = \frac{\omega_o}{\omega_{max}} \frac{\pi}{2} r \cos(\theta_s) = \Omega_o \frac{\pi}{2} r \cos(\theta_s)$$
(46)

The autocorrelation of the received signal is

$$\left[\boldsymbol{\Phi}_{ss\,(m,n)}\right]_{i,k} = P_s\,sinc\left\{\frac{Bw_s}{2}\tau_s\right\}e^{j\Omega_0\,\tau_s} \tag{47}$$

Where the delay time of receive signal is equal to

$$\tau_s = \pi[(m-n)\sin(\theta_s) + (i-k)(r/2)\cos(\theta_s)]$$
(48)
The noise correlation matrix is given as in Eq. (31).

By using the same steps, the constraint steering vector (a) of dimension ($MI \times 1$) for whole array can be written as

$$\begin{bmatrix} \boldsymbol{a}_{(m,1)} \end{bmatrix}_{i,1} = \operatorname{sinc} \left(\frac{\operatorname{Bw}_a}{2} \tau_a \right) * e^{j\tau_a}$$
(49)

Where
$$\tau_a$$
 is a steering vector delay time equal to
 $\tau_a = \pi [m \sin(\theta_a) + i(r/2) \cos(\theta_s)]$
(50)

By using the optimized weight, the output powers due to received signals and thermal noise are equal to

$$P_{s}(\theta_{s}, Bw_{s}) = \boldsymbol{W}_{opt}^{T} \boldsymbol{\Phi}_{ss(\theta_{s}, Bw_{s})} \boldsymbol{W}_{opt}$$

$$(51)$$

$$P_n(Bw_n) = \boldsymbol{W}_{opt}^{I} \,\boldsymbol{\Phi}_{nn(Bw_n)} \boldsymbol{W}_{opt} \tag{52}$$

Finally, the output signal to noise ratio (SNR) as a function of signal bandwidth and angle of arrival is equal to



 $SNR(\theta_s, Bw_s) = \frac{P_s(\theta_s, Bw_s)}{P_n(Bw_n)}$

4. SIMULATION AND RESULTS

All simulation programs are written in MATLAB (8.4) and the following assumptions are considered:- omnidirectional linear array antenna uniformly distributed with 0.5 λ inter-element spacing. The received signals are considered to be statistically independent and uncorrelated with the channel thermal noise components.

4.1 CASE 1: Testing the compensation capability of the transversal processor against the effects of signal bandwidth arriving from $\theta = 70^{\circ}$ with input SNR = 0dB. twelve isotropic array elements are assumed in this case with relative bandwidths (Bw_s = 40%, 60%).

Fig. 5.a and b show that when the relative bandwidth of the received signal is taken to be 0.4, the output SNR is completely degraded. When six taps behind each array element have used the output SNR is significantly improved and approximately raised up to a level as the case of narrowband signals. When the relative bandwidth is increased from 0.4 to 0.6, the output SNR is dropped severely and it is found that with the increase in signal bandwidth the number of taps required to compensate the effect of this bandwidth is also increased as shown in **Fig. 5. b**.

For the case of sensor delay line processor (SDL), **Fig. 6.a and b** shows that three taps (two delay sensor) behind each array element were sufficient to compensate the effect of 0.4 relative bandwidth, while five taps (four delay sensor) are required for 0.6 relative bandwidth. From results, one can deduce that, for any value of receiving signal relative bandwidth the number of taps required by sensor delay line processor is less than the number of taps required by taped delay line processor, which means that the SDL processor is more efficient than the TDL processor but it is more complex and expensive from hardware point of view.

4.2 CASE 2: Verifying the optimum number of delay taps (TDL) or sensor delay (SDL) line required for compensating the effects of two broadband signals simultaneously for AOA system with twelve isotropic array elements impinging by two signals arriving from ($\theta_1 = 15^\circ, \theta_2 = 75^\circ$) with equal input SNR's 0db and relative bandwidth's (Bw_s = 40%) are assumed.

It can be seen from **Fig. 7**, that for 0.4 relative bandwidth the estimated angle of arrival and the level of output SNR for a signal at angle 15° is more influenced by the effects of signal bandwidth than the signal received from 75° . That is because the total phase difference parameters including relative bandwidth between array element decrease as the received signal AOA near broadside due to the decrease of $(\cos \theta_s)$ parameter [see Eq.(41)]. Using 2 taps improves only the estimated angle of arrival and output SNR of signal from angle 75° , but using 6 taps shows a significant improvement in AOA and output SNR of source from 75° and also gives an acceptable improvement in AOA and output SNR for signal from 15° .

Fig. 8, the SDL processor exhibit better results for 0.4 relative bandwidth than the case of TDL for both sources, that is due to variation of delay time sampling (T_s) process according to the receiving signal angles which leads to good cancellation results [see Eq.(41)].

4.3 CASE 3: Testing the effect of receiving signal bandwidth on the output SNR for different number of array element (6 and 8) arriving from $\theta = 60^{\circ}$ with input SNR = 0 dB and relative bandwidth(Bw_s = 20%).

(53)



Fig. 9, shows that the output SNR is mainly increased with the increase of array elements numbers for zero signal bandwidth, but when the array aperture increases, more degradation in the output SNR is shown for 0.2 relative bandwidth. This is due to the increase of signal bandwidth effects on large aperture antennas. It is found that 3 taps delay behind each array element is sufficient to compensate the effect of 0.2 relative bandwidth for both array apertures. **Fig. 10**, shows that the level of output SNR is highly improved with the increase of array elements numbers for the case of 3 taps. The results express that for a different number of an array element, the SDL processor is more efficient than the case of TDL processor since the array field pattern gain at broadside is equal to the number of array elements.

4.4 CASE 4: Testing the capability of proposed system reference to the MUSIC technique for estimating AOA for two sources with ($Bw_s = 0\%$, 40%). AOA system with twelve isotropic elements impinging by two signals arriving from $\theta_1 = 40^\circ$, $\theta_2 = 120^\circ$ with input SNR's ($SNR_1 = 0dB$ and $SNR_2 = 4dB$) is assumed.

Fig. 11 shows that the output SNR for zero bandwidth is proportional to the input received signal power levels. For the case when the relative bandwidth is increased to 0.4 no estimation results were seen for both output SNR's and AOA's without delay units attached to the array sensors. When 4 delay units' taps are used behind each element the system response is significantly improved for AOA estimation and partially improved for output SNR. With 6 taps the system exhibits estimating response equivalent to narrowband case response. **Fig. 12** for MUSIC DF technique response shows the two narrowband sources with (zero bandwidth) and for 0.4 relative bandwidth. The response is quite good when the bandwidths were zero and completely corrupted for 0.4 bandwidth even with the use of 4 and 6 taps behind each array elements that is because the subspace eigenvalues related to channel thermal noise which is used by MUSIC technique formula [Eq. (1)] are completely occupied by signal given values subspace E_s to meet the effect of signal bandwidth.

5. CONCLUSIONS

A detailed analysis of the effect of signal bandwidth on the performance of the proposed AOA system constructed from adaptive linear array antenna has been carried out in terms of AOA and output SNR. The proposed system has been mathematically modeled, analyzed, simulated, and tested using m. file MATLAB. Comparing the tap delay line processor with the sensor delay line processor shows that the SDL processor is more efficient than the tap delay line processor since it provides a sampling time function of received signal incident angle. The number of delay sensor required to compensate any relative bandwidth effect is found to be less than the number of taps used by the transversal filter for the same bandwidth. The number of taps required for cancelling any relative bandwidth effect is increased with the increase of array sensor (array aperture) since the effect of signal bandwidth will be more for large aperture array, but for SDL a fixed number of delay sensors can be used for suppressing any relative bandwidth due to the increase in array field pattern gain with increase in the number of array elements. TDL and SDL processor exhibit good results for estimating both output SNR and AOA. MUSIC technique shows unacceptable results with wideband signals even with the use of (TDL) and (SDL) processors behind each element.

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NOMENCLATURE



- a(t): Steering vector.
- Bws: Received signal relative bandwidth.
- Bw_n : Thermal noise relative bandwidth.
- *c*: Velocity of signal
- E_N : Subspace eigenvector related to noise subspace
- $g_{M}(\theta_{s})$: Antenna element field pattern
- p_n: Thermal noise power
- p_s: Received signal power
- *W*_{opt} : Optimum weight vector
- Te: Spatial propagation time delay between adjacent array elements of the SDL
- T_o: Time delay between taps
- T_s: Time delay between adjacent sensors.
- y(t): Array output voltage due to signals and thermal noise
- Φ_{ss} : Power spectrum density of the receive signal
- Φ_{nn} : Power spectrum density of thermal noise
- Φ_{xx} : Power spectrum density for received signals plus thermal noise.
- $R_{ss}(\tau)$: Auto correlation function of the receive signal.
- τ : Integrator time constant
- λ : Center frequency wavelength of received signal
- β : Wave number
- τ_s : Delay time of receive signal
- τ_n : Delay time of thermal noise
- τ_a : Delay time of steering vector
- φ_0 : Tap delay line phase different
- φ_e : Inter element phase delay at center frequency
- θ_s : Elevation angle of received signal
- σ^2 : Variance of thermal noise (noise power)
- *E*{.}: Expected value of {.}
- [.]⁻¹: Inverse matrix
- {.}*: Conjugate
- $\{.\}^T$: Transpose of vector.
- AOA: Angle of Arrival
- SNR: signal to noise ratio
- TDL: Tap Delay Line
- SDL: Sensor Delay Line
- MUSIC: Multiple Signal Classification
- FIR: Finite impulse response
- IIR: Infinite impulse response





Figure 1. An adaptive narrowband beam former.







Figure 3. Wideband adaptive array AOA estimator.



Figure 4. Sensor-Delay Line Processor.





b. with relative bandwidth 60%.

Figure 5. Output SNR plot for an adaptive system with TDL processor with relative bandwidth 40% and 60%.





Figure 6. Output SNR plot for an adaptive system with SDL processor with relative bandwidth 40% and 60%.



Figure 7. Output SNR plot for an adaptive system with TDL processor with two sources.



Figure 8. Output SNR plot for an adaptive system with SDL processor with two sources.







Figure 10. Output SNR plot for an adaptive system with SDL processor for a different number of antennas.



Figure 11. The proposed system plot for output SNR with two sources.



Figure 12. MUSIC DF response with two sources.